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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/822,648	03/30/2001	Fernando Martins	42390P10457	7643

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EXAMINER

SELBY, GEVELL V

ART UNIT	PAPER NUMBER
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2615

DATE MAILED: 12/03/2004

4

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary

Application No.

09/822,648

Applicant(s)

MARTINS ET AL.

Examiner

Gevell Selby

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-4, 9, 11-14, 19, 21-24 and 29 is/are rejected.
- 7) ☒ Claim(s) 5-8, 10, 15-18, 20, 25-28 and 30 is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 March 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____ |

DETAILED ACTION

Specification

1. The disclosure is objected to because of the following informalities:

In paragraph 1, the space holders for the application No. and filing date should be replaced with "09/822,549" and "March 30, 2001", respectively.

Appropriate correction is required.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. **Claims 1, 2, 11, 12, 22, and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220.**

In regard to claims 1 and 11, Suito discloses a system and method comprising:

a storage device having stored therein one or more routines for determining the suitability of a video image for use by an object tracking system (see column 5, lines 48-50: It is implied the tracking signal processing circuit contains a storage device to store the program to perform the processing as illustrated in figure 5); and

a processor (see figure 2, element 11) coupled to the storage device that when executing the one or more routines:

preprocesses a video image from a video camera to separate one or more objects to be tracked from the rest of the video image and compute statistics for the one or more objects to be tracked and the rest of the video image (see column 10, lines 26-40);

and generates color statistics for the saturation and hue (see column 12, lines 55-61 and column 14, lines 10-16).

The Suito reference does not disclose that the processor:

generates a quality measure based on the statistics for the one or more objects to be tracked and the rest of the video image that indicates the suitability of the video image for use by an object tracking system; and

tunes said video camera to increase said quality measure beyond a threshold.

Nakano et al., US 6,094,220, discloses an image pickup apparatus that generates a quality measure based on the statistics for the one or more objects to be tracked and the rest of the video image that indicates the suitability of the video image for use by an object tracking system (see column 4, lines 17-21: The quality measure of an object extracting condition is determined based on the hue and saturation of the object) and tunes said video camera to increase said quality measure beyond a threshold (see column 4, lines 25-30: The microcomputer controls the chromaticity of the object, when it changes to fall below a predetermined value, to restore it to the initial condition of the white balance control).

It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to modify Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220 to have the processor generate a quality measure based on the

statistics for the one or more objects to be tracked and the rest of the video image that indicates the suitability of the video image for use by an object tracking system and tunes said video camera to increase said quality measure beyond a threshold in order to perform white balance control with higher accuracy when the distribution of chromaticity of the object changes.

In regard to claims 2 and 12, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, discloses the system and method of claims 1 and 11, respectively. The Suito reference discloses wherein preprocessing comprises:

- computing color statistics for said one or more objects to be tracked (see column 12, lines 55-61 and column 14, lines 10-16);

- removing said one or more objects to be tracked from a background of the video image (see figure 11, lines 35-40); and

- computing color statistics for said background of the video image (see column 10, lines 47-50).

In regard to claim 21, the Suito reference discloses the system and method for performing the operations discloses limitations disclosed in claim 12, as explained above in regard to claims 1 and 11. It is implied the tracking signal processing circuit of the Suito reference contains a machine-readable medium to store the program to perform the processing as illustrated in figure 5 (see column 5, lines 48-50). The Suito reference does not disclose that the processor:

generates a quality measure based on the statistics for the one or more objects to be tracked and the rest of the video image that indicates the suitability of the video image for use by an object tracking system; and

tunes said video camera to increase said quality measure beyond a threshold.

It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to modify Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220 to have the processor generate a quality measure based on the statistics for the one or more objects to be tracked and the rest of the video image that indicates the suitability of the video image for use by an object tracking system and tunes said video camera to increase said quality measure beyond a threshold in order to perform white balance control with higher accuracy when the distribution of chromaticity of the object changes.

In regard to claim 22, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, discloses the machine-readable medium of claim 21. The Suito reference discloses wherein preprocessing comprises:

computing color statistics for said one or more objects to be tracked(see column 12, lines 55-61 and column 14, lines 10-16);

removing said one or more objects to be tracked from a background of the video image (see figure 11, lines 35-40); and

computing color statistics for said background of the video image (see column 10, lines 47-50).

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4. Claims 3, 4, 13 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, as applied to claim 12 above, and further in view of Funayama et al., US 6,389,155.

In regard to claims 3 and 13, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, discloses the system of claims 2 and 12, respectively, wherein said computing color statistics for said one or more objects to be tracked comprises:

identifying the objects to be tracked (see Suito: column 10, lines 45-60).

The Suito and Nakano references do not disclose calculating mean and variance values for the hue and saturation of the one or more objects to be tracked.

Funayama et al., US 6,389,155, discloses an image processor that calculates mean and variance values for the hue and saturation of the one or more objects (see column 11, lines 57-59) to use for calculating the probability density functions used to identify an object in a region of an image (see column 11, line 66 to column 12, line 26).

It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to modify Suito et al., US 6,014,167, in view of Nakano et al., US 6,094,220, and further in view of Funayama et al., US 6,389,155, to have the processor calculate mean and variance values for the hue and saturation of the one or more objects to calculate the probability density functions in order to identify an object in the region of an image where the object to be tracked is located.

In regard to claims 4 and 14, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, and further in view of Funayama et al., US 6,389,155, discloses the system of claims 3 and 13, respectively. The Suito reference discloses wherein said

identifying comprises aligning the at least one or more objects to be tracked with one or more rectangles projected onto the video image (see figure 7B, object frame).

In regard to claims 9 and 19, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, discloses the system of claims 2 and 12, respectively, wherein said computing color statistics for said background of the video image comprises:

calculating a mean value for hue of the background; and calculating a mean value for saturation of the background.

The Suito and Nakano references do not disclose calculating a mean value for hue of the background; and calculating a mean value for saturation of the background.

It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to modify Suito et al., US 6,014,167, in view of Nakano et al., US 6,094,220, and further in view of Funayama et al., US 6,389,155, to have the processor calculate mean values for the hue and saturation of the background to calculate the probability density functions in order make the search region of the object smaller by eliminating areas of the background from the region of interest.

In regard to claim 23, the Suito and Nakano references disclose the system and method for performing the operations discloses limitations disclosed in claim 21, as explained above in regard to claims 1 and 11. It is implied the tracking signal processing circuit of the Suito reference contains a machine-readable medium to store the program to perform the processing as illustrated in figure 5 (see column 5, lines 48-50). The Suito and Nakano references do not disclose calculating a mean value for hue of the background; and calculating a mean value for saturation of the background.

It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to modify Suito et al., US 6,014,167, in view of Nakano et al., US 6,094,220, and further in view of Funayama et al., US 6,389,155, to have the processor calculate mean values for the hue and saturation of the background to calculate the probability density functions in order make the search region of the object smaller by eliminating areas of the background from the region of interest.

In regard to claim 24, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, discloses the machine-readable medium of claim 23. The Suito reference discloses wherein said identifying comprises aligning the at least one or more objects to be tracked with one or more rectangles projected onto the video image (see figure 7B, object frame).

In regard to claim 29, Suito et al., US 6,014,167 in view of Nakano et al., US 6,094,220, discloses the machine-readable medium of claim 22, respectively, wherein said computing color statistics for said background of the video image comprises:

calculating a mean value for hue of the background; and calculating a mean value for saturation of the background.

The Suito and Nakano references do not disclose calculating a mean value for hue of the background; and calculating a mean value for saturation of the background.

It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to modify Suito et al., US 6,014,167, in view of Nakano et al., US 6,094,220, and further in view of Funayama et al., US 6,389,155, to have the processor calculate mean values for the hue and saturation of the background to calculate

the probability density functions in order make the search region of the object smaller by eliminating areas of the background from the region of interest.

Allowable Subject Matter

5. Claims 5-8, 10, 15-18, 20, 25-28, and 30 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

In regard to claims 5-8, 15-18, and 25-28, the prior art does not disclose comprising all the limitations of the claim, specifically:

identifying the selected pixel as a pixel belonging to the selected object if the difference between hue of the pixel and mean hue of the selected object is within an allowable range for hue, the difference between saturation of the pixel and mean saturation of the selected object is within an allowable range for saturation, and the horizontal and vertical distances of the pixel from the center of the selected object are within an allowable range for distance; and

identifying a pixel as belonging to the background of the video image if the difference between hue of the pixel and the mean hue of the selected object is not within said allowable range for hue, the difference between saturation of the pixel and the mean saturation of the object selected is not within said allowable range for saturation, and the horizontal and vertical distances of the pixel from the center of the selected object are not within said allowable range for distance.

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In regard to claims 10, 20, and 30, the prior art does not disclose comprising all the limitations of the claim, specifically:

maximizing the saturation of each of the one or more objects to be tracked;
minimizing the saturation of the background of the video image;
maximizing the hue difference between the one or more objects to be tracked; and
maximizing the average hue difference between the one or more objects to be tracked and the background of the video image.

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The following art discloses object tracking systems:

US 6,394,557,

US 6,075,557,

US 6,760,465,

US 2004/0201719.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gevell Selby whose telephone number is 703-305-8623. The examiner can normally be reached on 8:00 A.M. - 5:30 PM (every other Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Christensen can be reached on 703-308-9644. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

gvs



TUAN HO
PRIMARY EXAMINER